

Master trust authorisation

# **Notification form: trustees consider the triggering event resolved**

November 2018

The Pensions  
Regulator

## About this form

As trustees,<sup>1</sup> you have 14 days to notify us from the date you consider the triggering event was resolved. Please see regulation 21 of the Occupational Pension Schemes (Master Trusts) Regulations 2018.

Please use this form to inform us of the triggering events that you consider resolved. You will receive an email confirmation after you submit the form.

We will inform you whether we are satisfied the triggering event has been resolved. We cannot be satisfied that a triggering event has been resolved unless the initial event and any associated events (events that would have been triggering events had they not occurred in a triggering event period) are resolved. Please submit supporting evidence to demonstrate how the event was addressed. For more details, please see paragraph 394-395 of our Code of Practice no.15 Authorisation and supervision of master trusts.

This form is interactive so can either be:

- ▶ completed electronically and emailed to: [regulatorytransactions@tpr.gov.uk](mailto:regulatorytransactions@tpr.gov.uk), or
- ▶ printed, completed by hand (please use block capitals) and posted to: **Regulatory Transactions, The Pensions Regulator, Telecom House, 125-135 Preston Road, Brighton BN1 6AF**

### Please note

We will use the information provided in exercising our functions and may contact you for further information. You are also advised to keep a copy of the completed form for your records.

<sup>1</sup> The duty on trustees to notify us of a resolved triggering event is found in regulation 21 of the Occupational Pension Schemes (Master Trusts) Regulations 2018

## Your details

Your name	
Email address	

## Scheme details

Master trust name	
Pension Scheme Registration number	

## Triggering event detail

Which type of triggering event do you consider resolved? Only select the **one** that applies.

4 <sup>2</sup>	An insolvency event has occurred in relation to a scheme funder	
5	A scheme funder has become unlikely to continue as a going concern	
6	A scheme funder has decided to end the relationship or arrangement with the master trust scheme of which it is a scheme funder	
7	A scheme funder has ended the relationship or arrangement with the master trust scheme of which it is a scheme funder	
8	A scheme funder, scheme strategist or the trustees have decided that the master trust scheme should be wound up, where the person making the decision has power to do so under the scheme or the rules of the scheme	
9	An event has occurred which is required or permitted by the scheme or the rules of the scheme to result in the winding up of the master trust scheme	
10	The trustees have decided that the master trust scheme is at risk of failure	

<sup>2</sup> Triggering events 1, 2, 2A and 3 are initiated by The Pensions Regulator and do not require notification from master trusts

<b>Please confirm the date of the triggering event</b> (dd/mm/yy)	
<b>Please confirm any other associated events<sup>3</sup> and their dates</b> (dd/mm/yy)	
<b>Date The Pensions Regulator was notified about the triggering event</b> (dd/mm/yy)	
<b>Date the implementation strategy was approved by The Pensions Regulator</b> (dd/mm/yy)	
<b>Date trustees considered the triggering event to be resolved</b> (dd/mm/yy)	
<b>Date trustees considered each associated event to be resolved where applicable</b> (dd/mm/yy)	

If there are further associated events, we will be unable to decide whether this triggering event is resolved unless we are satisfied the associated events are also resolved.

3 An event that would have been a triggering event had it not occurred during a triggering event period

**Please explain how you consider the triggering event and any associated events have been resolved, setting out the steps that have been taken to resolve it and how the actions align to the implementation strategy.**

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**Are there any other documents you would like to submit in relation to the resolution of the triggering event?** You need to notify us of any material changes to the following if such changes constitute a significant event.

- a. Business plan, including details of revised funding and/ or finance arrangements
- b. CALP (costs, assets and liquidity plan)
- c. Continuity strategy
- d. Persons subject to fit and proper assessments
- e. Systems and processes

You should submit these to the same email address that you use to submit this form.



## Declaration

Please tick to confirm:

that the information you have provided is true and correct to the best of your knowledge and belief	
that you understand that providing us with false or misleading information may affect your authorisation status	

### Contact details for trustee making notification

<b>Full name</b>	
<b>Contact address including postcode</b>	
<b>Contact email address</b>	
<b>Contact telephone number</b>	
<b>Date form completed</b> (dd/mm/yy)	

## Data protection statement

The Pensions Regulator (TPR) is a data controller for the purposes of the General Data Protection Regulation (GDPR) and the Data Protection Act 2018. We process personal data held by us for the purpose of the exercise of any of our statutory functions and objectives. We may also process personal data under other legislation such as the Fraud Act. This includes, for the avoidance of doubt, taking regulatory or enforcement action for breaches of any of this legislation.

We will only store your personal data for as long as our retention schedule allows. During this time, you may be able to exercise certain rights in relation to your personal data such as the right to access, objection and data portability. If you feel that we have handled your personal data in a manner inconsistent with your rights, you may lodge a complaint with the Information Commissioner's Office (ICO).

If you have any questions about how we handle your personal data please contact our Data Protection Officer (DPO) by email at: [dpa@tpr.gov.uk](mailto:dpa@tpr.gov.uk) or write to us at **Telecom House, 125-135 Preston Road, Brighton, BN1 6AF**. Further information on how your personal data is used, kept secure and your rights can be found by reading our **privacy notice**.

Any information, including any third party data, supplied to TPR may be used (including further disclosure) in connection with any of our statutory functions. TPR may check information it receives about you with what it already holds on its records. This includes information that you have provided as well as that given by others, such as government departments. We will not give information to anyone outside TPR unless the law allows us to do so.

## How to contact us

Telecom House  
125-135 Preston Road  
Brighton  
BN1 6AF

[www.tpr.gov.uk](http://www.tpr.gov.uk)

[www.trusteetoolkit.com](http://www.trusteetoolkit.com)

Free online learning for trustees

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